

**Yee &  
Associates, P.C.**

4100 Alpha Road  
Suite 1100  
Dallas, Texas 75244

Main No. (972) 385-8777  
Facsimile (972) 385-7766

## FACSIMILE COVER SHEET

To: Commissioner for Patents for <b>Examiner Kenneth Tang</b> <b>Group Art Unit 2195</b>	Facsimile No. <b>571/273-3772</b>
From: Jeanine A. Graunke Legal Assistant to Neil G. Ferrari	No. of Pages Including Cover Sheet: <b>3</b>
Enclosed herewith: <ul style="list-style-type: none"><li>• Applicant Initiated Interview Request Form (PTOL-413A); and</li><li>• Agenda for Telephone Interview.</li></ul>	
Re: Application Serial No. <b>10/751,317</b> Attorney Docket No. <b>AUS920030294US1</b>	
Date: April 28, 2009	
<b>Please contact us at (972) 385-8777 if you do not receive all pages indicated above or experience any difficulty in receiving this facsimile.</b>	<i>This Facsimile is intended only for the use of the addressee and, if the addressee is a client or their agent, contains privileged and confidential information. If you are not the intended recipient of this facsimile, you have received this facsimile inadvertently and in error. Any review, dissemination, distribution, or copying is strictly prohibited. If you received this facsimile in error, please notify us by telephone and return the facsimile to us immediately.</i>

PTOL-413A (10-07)  
Approved for use through 10/31/2007. OMB 0551-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

### Applicant Initiated Interview Request Form

Application No.: 10/751,317

First Named Applicant: Brooks et al.

Examiner: Tang, Kenneth

Art Unit: 2195

Status of Application: Final Office Action

#### Tentative Participants:

(1) Neil G. Ferrari

(2) Kenneth Tang

(3)

(4)

Proposed Date of Interview: 4/29/09

Proposed Time: 2:00 p.m. ET (AM/PM)

#### Type of Interview Requested:

(1) ☒ Telephonic(2) ☐ Personal(3) ☐ Video ConferenceExhibit To Be Shown or Demonstrated: ☐ YES☐ NO

If yes, provide brief description:

### Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) See Agenda			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4)			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Continuation Sheet Attached					

#### Brief Description of Arguments to be Presented:

Please see agenda.

An interview was conducted on the above-identified application on \_\_\_\_\_.

**NOTE:** This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

Applicant/Applicant's Representative Signature

Neil G. Ferrari

Examiner/SPE Signature

Typed/Printed Name of Applicant or Representative

61,484

Registration Number, if applicable

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: <b>Brooks et al.</b>	§	Group Art Unit: <b>2195</b>
	§	
Serial No.: <b>10/751,317</b>	§	Examiner: <b>Tang, Kenneth</b>
	§	
Filed: <b>January 2, 2004</b>	§	Confirmation No.: <b>2020</b>
	§	
For: <b>Method, System, and Product for Defining and Managing Provisioning States for Resources in Provisioning Data Processing Systems</b>	§	Attorney Docket No.: <b>AUS920030294US1</b>

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

**AGENDA FOR TELEPHONE INTERVIEW**

Sir:

Thank you for agreeing to an Examiner Interview on April 29, 2009 (Wednesday) at 2:00 p.m.EDT. Please consider the following topics for discussion:

Applicants' representative would like to discuss the rejection with the Examiner.  
Applicants' representative would like to clarify how the Examiner is interpreting the prior art.  
Applicants' representative asks that the Examiner help with proposing amendments to be discussed during the Interview.

The Examiner is invited to call at the below-listed telephone number to confirm or reschedule the requested telephone interview.

DATE: April 28, 2009

Respectfully submitted,

/Neil G. Ferrari/

Neil G. Ferrari  
Reg. No. 61,484  
Yee & Associates, P.C.  
P.O. Box 802333  
Dallas, TX 75380  
(972) 385-8777  
Attorney for Applicants